



KUZA UNIT TRUST SCHEME

APPLICATION FORM - CORPORATE

ACCOUNT NUMBER

1. Investor Details			
Company/Organization Name:			
Date of Incorporation/Registration:			
Country of Registration:		Tax PIN Number:	
Registration Number:		Physical Address:	
Postal Address and Code:		Town:	
Email Address:		Mobile No:	
Institution Type: Company Trust Fund Other(specify)			
Nature of Occupation / Business:			
2. Signatory Details			
	Signatory 1	Signatory 2	Signatory 3
Title			
Surname			
Other Names			
Date of Birth			
ID/Passport Number			
Tax PIN Number			
Nationality			
Mobile Number			
Email Address			
Physical Address			
Position			
3. Source of Funds			
<input type="checkbox"/> Sale of Investments <input type="checkbox"/> Loan <input type="checkbox"/> Property Sale <input type="checkbox"/> Profits <input type="checkbox"/> Sale of Shares			
<input type="checkbox"/> Interest/Dividend <input type="checkbox"/> Maturing Investment <input type="checkbox"/> Others (specify):			
Kuza Asset Management Limited reserves the right to seek further information or documentation on the source of funds to be invested.			
4. Physical Address			
We write to confirm that the below is a description of our current business address. A description has been provided as we do not have any utility bill that may be used to verify the address.			
Address Description			
Land Registration (L.R) Number:			
Floor Number:			
Building Name:			
Town/Road:			
5. FATCA/CRS Declaration			
Is the organization registered for tax in Kenya?		Yes <input type="checkbox"/>	No <input type="checkbox"/>
Is the organization registered for tax in any other country?		Yes <input type="checkbox"/>	No <input type="checkbox"/>
Country (ies) of Tax Residency	Tax Identification Number	Not Applicable (Tick where applicable)	
1.		<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.		<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.		<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.		<input type="checkbox"/> Yes	<input type="checkbox"/> No
6. Investor Bank Details			
Account Name			
Account Number		Currency	

Bank		Branch				
Any Instructions of change of Investor bank Details MUST be provided in writing with certified proof.						
7. Fund Selection						
Fund Name - Select a Product(s)		Investment Amount			Minimum Investment	
<input type="checkbox"/>	Kuza Money Market Fund KES	KES			KES 5,000	
<input type="checkbox"/>	Kuza Money Market Fund USD	USD			USD 100	
<input type="checkbox"/>	Kuza Fixed Income Fund	KES			KES 5,000	
<input type="checkbox"/>	Kuza Momentum Fund	KES			KES 250,000	
8. Bank Account Details						
Account Name	Bank	Branch	Branch Code	SWIFT Code:	Currency	Account Number
Kuza Money Market Fund Collection	KCB Bank Kenya Ltd	Head Office	01133	KCBLKENXXXX	KES	1305717937
Kuza Money Market Fund Collection					USD	1305718356
Kuza Fixed Income Fund Collection					KES	1305718151
Kuza Momentum Fund Collection					KES	1305718240
Kuza Asset Management Limited accepts Personal/ Corporate and Bankers Cheques payable to the account name of the fund in which you intend to invest. No third-party cheques will be accepted. Payments should be made directly into the collection account and payment details must be attached to the application form. Please note that the Bank deposit slip will be deemed as a receipt but not as value by Kuza Asset Management Limited until funds are sighted in the account.						
9. Signing Mandate						
Client Acknowledgement:						
<input type="checkbox"/> By checking this box, we confirm that we have read and understood, and hereby consent to Kuza Asset Management Limited's (Kuza) Privacy Policy. We hereby authorize Kuza to collect, use, disclose, and/or process our personal data or information without further notification to us, confidentially with its affiliated companies, third-party service providers, business partners and/or other parties which may be sited outside of Kenya, for setting up and administering our investment account with Kuza, customer services and to allow Kuza and/or its business partners to perform marketing and related activities, until Kuza receives your written instructions to the contrary.						
<input type="checkbox"/> We confirm that we have read and understood, and hereby consent to the general terms and conditions, and hereby make the above declaration.						
Signing Mandate <input type="checkbox"/> All to sign <input type="checkbox"/> Either to sign <input type="checkbox"/> Any two to sign						
	Name	Signature			Date	
Authorized Signatory						
Authorized Signatory						
Authorized Signatory						

For official use only	
KYC Documents for all Signatories	Tick
1. Founding Documents (Certificate of Incorporation, Partnership Agreement)	
2. Copy of Memorandum and articles of association or updated CR12	
3. Proof of Resolution (Minutes) authorizing the investment and to act on behalf of the organization signing mandate and authorized signatories.	
4. Copy of PIN or Tax Exemption Certificate (where applicable)	
5. Proof of Banking details: Original canceled cheque; Copy of ATM Card; Bank Statement or Certified letter from the bank (not more than three months old)	
6. Copy of Official Identification Document or Current Passport for all signatories	
7. Copy of PIN for all signatories	
8. Proof of Investment: M-Pesa Transaction Number, Cheque, RTGS or Deposit Slip in the correct fund	

I confirm that I have verified all the above documents	Financial Advisor Name: _____ Code: _____
	Email: _____ Telephone: _____
	Signature: _____ Date: _____
Administrator	Name: _____
	Signature: _____ Date: _____
Compliance Officer	Name: _____
	Signature: _____ Date: _____

GENERAL TERMS AND CONDITIONS

- A.** Units are traded at the daily yields/prices which may go up as well as down.
- B.** Units in the Fund may normally be purchased on any Business Day by completing this application form and returning it to the Fund Manager together with proof of payment for the relevant subscription amount, and such relevant Know Your Customer documents as requested in the application form. Business Day is a day which is neither (i) a Saturday or Sun-day; nor (ii) a public holiday in Kenya.
- C.** Completed application forms and notification of deposits/cleared funds must be received for the investment to be executed. Subscriptions monies in cleared funds received will be dealt with on the next Dealing Day.
- D.** Units in the Fund may be redeemed during normal business hours on any Business Day by application in writing by the Unit Holder. A withdrawal form is available from the Fund Manager on request and may be submitted in electronic format or through such digital platform designated by the Manager. The proceeds will be sent to Unit Holders by the close of business on the fourth Business Day after the date of receipt of written instructions or document of renunciation.
- E.** Past performance is not a guide to future performance and may not be repeated. There is no guarantee that the investment objectives of the Fund will be attained. The price of units and the income from them may fall as well as rise and Clients may not realize their initial investment.
- F.** The Fund Manager may accept Client instructions received through electronic communication from Clients who have consented to the Email Indemnity provided in this Application or executed and delivered an Email Indemnity in the form prescribed by the Fund Manager. The Client acknowledges that the Fund Manager reserves the right at its sole discretion to require the Client to provide hard copy written instructions in specific instances.
- G.** The Client and/or his/her financial advisor shall at all times be responsible for ensuring that the unit trust and its representatives receive any instructions from the Client and/or financial advisor, whether by facsimile or mail and that such instructions are complete and correct in all respects.
- H.** Clients are reminded that in certain specified circumstances their right to redeem their units may be suspended.
- I.** No third-party cheques are allowed. Payments from third parties made on your behalf may be accepted on an exceptional basis and solely at the discretion of Kuza Asset Management Limited.

- J.** Once an account has been opened, a statement of investment will be sent by e-mail to the Client on a monthly basis.
- K.** All transaction charges on purchasing securities shall be borne by the Fund and not the Fund Manager.

DECLARATIONS

- A.** We confirm that we have read and understood the Information Memorandum for the selected fund, and state that we make this application on the terms and conditions set out in the Fund's Information Memorandum.
- B.** We confirm that the information provided in this application, together with all supporting documentation that will be supplied in connection with this application, is true, correct and accurate. We hereby consent that Kuza Asset Management Limited may verify the information provided using such reasonable and necessary verification mechanisms.
- C.** We confirm that the money used for this investment does not arise out of the proceeds of any money laundering or other illicit activities.
- D.** We agree to accept the number of units bought by virtue of this application and Trust Deed and

Rules for the selected fund and warrant that we have full power and authority to enter into and conclude this transaction.

- E.** We confirm that the units are not being acquired either directly or indirectly by or on behalf of any person restricted by law of any relevant jurisdiction from acquiring the units.
- F.** We hereby consent to all redemption proceeds and/or income distributions made to us being paid in accordance with our payment instructions above and as may be varied as per our written instructions. We hereby accept full responsibility for securing the integrity of our selected payment mode, and fully indemnify Kuza Asset Management Limited from any claims, losses or liabilities that it may incur in connection with the processing of payment in accordance with our instructions.
- G.** We hereby unconditionally and unequivocally agree that Kuza Asset Management Limited shall be entitled to act upon any instructions issued through our registered profile on a Kuza Asset Management Client Portal or through electronic mail from our indicated email address (including scanned copies of documentation) which instructions shall be binding and enforceable against us notwithstanding that any

such instruction may later be shown to be in any way false, incomplete, inaccurate, delayed, erroneous, unauthorized or otherwise not authentic.

- H.** We agree that Kuza Asset Management Limited shall not be responsible for any liability, losses or damages resulting from such electronic instructions, except where the loss results from gross negligence or fraud on Kuza's part. We agree to fully indemnify Kuza Asset Management Limited against any proceedings, claims, expenses, and liabilities which may be taken or made against Kuza by reason of Kuza acting on our instructions.
- I.** We consent to the disclosure of the information provided in this application for compliance purposes to Kuza Asset Management Limited or its agents and the necessary regulators and government agencies.
- J.** Upon receipt, we shall review all statements and will notify the Fund Manager immediately if there is a discrepancy.
- K.** We have read and fully understand the funds' objectives, risk levels, income distribution and all charges as set out in the funds' fact sheets and the Information Memorandum.

Contact Details

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